

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15113

In Re Application Of: KAZUHIKO HAYASHI, et al.

Serial No.
09/991,600

JAN 3 2002
U.S. PATENT & TRADEMARK OFFICE

Filing Date
November 23, 2001

Examiner
unassigned

Group Art Unit
unassigned

Title: **LIGHTING DEVICE LIQUID CRYSTAL DISPLAY DEVICE INCLUDING THE SAME,
AND METHOD OF FABRICATING THE SAME**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of _____ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013 SSMP as described below. A duplicate copy of this sheet is enclosed.

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Signature

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Signature of Person Mailing Correspondence

Michelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

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Dated: January 11, 2002

CC:



45

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kazuhiko Hayashi, et al.

Examiner: Unassigned

Serial No: 09/991,600

Art Unit: Unassigned

Filed: November 23, 2001

Docket: 15113

For: LIGHTING DEVICE, LIQUID CRYSTAL
DISPLAY DEVICE INCLUDING THE SAME,
AND METHOD OF FABRICATING THE SAME

Dated: January 11, 2002

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Unexamined Patent Publication No. 2000-29008 dated January 28, 2000.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 11, 2002.

Dated: January 11, 2002

Michelle Mustafa

2. Japanese Unexamined Patent Publication No. 2000-19330 dated January 21, 2000.
3. Japanese Unexamined Patent Publication No. 11-326903 dated November 26, 1999.
4. Japanese Unexamined Patent Publication No. 10-50124 dated February 20, 1998.
5. Japanese Unexamined Patent Publication No. 10-268308 dated October 9, 1998.
6. Japanese Unexamined Patent Publication No. 11-202799 dated July 30, 1999.
7. Japanese Unexamined Patent Publication No. 11-326898 dated November 26, 1999.
8. Japanese Unexamined Patent Publication No. 2000-155315 dated June 6, 2000.

Applicants are submitting a copy of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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